

APPLICANT BACKGROUND INFORMATION QUESTIONNAIRE

24. This questionnaire must be completed by a key officer, member or partner of the Applicant. **These questions pertain to the business identified in item 1 of the application; they do not pertain to the officers, members or partners of the business.** Mark an "X" in the appropriate box. If you answer "Yes" to any question, give all details on a separate sheet. If any event or action arises after completing these questions and before action is taken by the department on this application that would cause the applicant to answer "Yes" to any question, the applicant must immediately provide all details in writing to the department.

Yes No

- a. Has the applicant ever been the subject of disciplinary action including, but not limited to, civil forfeitures, cease and desist orders, injunctions, license suspensions, denials, revocations, warnings, reprimands, enforcement actions, probation and limitations by any regulatory agency in this state or any other state? Provide details about the disciplinary action, including but not limited to date, regulatory agency and type of discipline.
- b. Is disciplinary action pending against the applicant in this state or any other state? Provide details, including but not limited to action, regulatory agency and state.
- c. Has the applicant ever surrendered, resigned, cancelled or been denied a professional license or other credential in this state or any other state? Provide details, including but not limited to date, credential and state.
- d. Has the applicant been the subject of derogatory credit (bankruptcy, judgment, tax lien, collections, etc.) within the past 10 years? Provide details, including but not limited to date, circumstances and court or agency.
- e. Has the applicant been denied credit within the past 10 years? Provide details, including but not limited to entity denying credit and date.
- f. Has the applicant been the subject of any suit, claim, or other civil action in this state or any other state within the last 10 years that was settled or included a ruling or decision not in the applicant's favor? Provide a description of the suit, claim, or other civil action, agency or court, date filed, and outcome.
- g. Is a suit, claim or other civil action pending in this state or any other state against the applicant? Provide details, including but not limited to a description of the suit, claim, or other civil action, agency or court, date filed, and current status.

I, Kevin Stitt, the undersigned, being a key officer, member or partner
(Print Name)

of Gateway Mortgage Group, LLC hereby certify that each statement and
(Name of Applicant)

representation in the Applicant Background Information Questionnaire is true and correct to the best of my knowledge.

[Signature] President 7-10-08
(Signature) (Title) (Date)

Note: This Department may independently conduct checks into background, experience and related matters in conjunction with the filing of this application and representations therein. Failure to complete this application completely and accurately may result in denial or revocation of the license, and any other penalties as provided by law.

INDIVIDUAL BACKGROUND INFORMATION QUESTIONNAIRE



25. This questionnaire must be completed by each key officer, member, partner or owner of the Applicant. "Key Officers" include the chief executive officer, chief operating officer, president, executive or senior vice president, secretary and treasurer. Mark an "X" in the appropriate box. If you answer "Yes" to any question, give all details on a separate sheet. Copies of this form may be made. If any event or action arises after completing these questions and before action is taken by the department on this application that would cause the individual to answer "Yes" to any question, the individual must immediately provide all details in writing to the department.

Yes No

- a. Yes No. Have you ever been convicted of any misdemeanor or felony in this state or any other state? Provide details about the misdemeanor or felony, including but not limited to conviction, conviction date, penalty and court.
- b. Yes No. Are there any felony or misdemeanor charges pending against you in this state or any other state? Provide details about the pending charges, including but not limited to charge, date and court.
- c. Yes No. Are you on probation or on parole for a conviction? Provide details including the terms of incarceration and, if applicable, list name, address and phone number of probation or parole officer.
- d. Yes No. Have you ever been the subject of disciplinary action including, but not limited to, civil forfeitures, cease and desist orders, injunctions, license suspensions, denials, revocations, warnings, reprimands, enforcement actions, probation and limitations by any regulatory agency in this state or any other state? Provide details about the disciplinary action, including but not limited to date, regulatory agency and type of discipline.
- e. Yes No. Is disciplinary action pending against you in this state or any other state? Provide details, including but not limited to action, regulatory agency and state.
- f. Yes No. Have you ever surrendered, resigned, cancelled or been denied a professional license or other credential in this or any other state? Provide details, including but not limited to date, credential and state.
- g. Yes No. Has your employment involuntarily been suspended or terminated in this state or any other state? Provide details about the suspension or termination, including but not limited to name and location of employer, reason and date.
- h. Yes No. Have you been the subject of derogatory credit (bankruptcy, judgment, tax lien, collections, etc.) within the past 10 years? Provide details, including but not limited to date, circumstances and court or agency.
- i. Yes No. Have you been denied credit within the past 10 years? Provide details, including but not limited to entity denying credit and date.
- j. Yes No. Have you been the subject of any suit, claim, or other civil action in this state or any other state within the last 10 years that was settled or included a ruling or decision not in your favor? Provide a description of the suit, claim, or other civil action, agency or court, date filed, and outcome.

Initials of individual signing this questionnaire: JIS

Yes No

- k. Is a suit, claim or other civil action pending against you in this state or any other state? Provide details, including but not limited to a description of the suit, claim, or other civil action, agency or court, date filed, and current status.
- l. Have you been the key officer, member, partner or owner of any company that failed in business or filed bankruptcy while you were a key officer, member, partner or owner? Provide details, including company name(s), your position with the company, dates, and circumstances.
- m. Have you been the key officer, member, partner or owner of any company that was the subject of disciplinary action including, but not limited to, civil forfeitures, cease and desist orders, injunctions, license suspensions, denials, revocations, warnings, reprimands, enforcement actions, probation and limitations by any regulatory agency in this state or any other state while you were a key officer, member, partner or owner? Provide details about the disciplinary action, including but not limited to company name, date, regulatory agency and type of discipline.

I, , the undersigned, being a key officer, member or partner
(Print Name)

of hereby certify that each statement and
(Name of Applicant)

representation in the Individual Background Information Questionnaire is true and correct to the best of my knowledge.

President 8/6/08
(Signature) (Title) (Date)

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